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# Trivially noncontractible edges in a contraction critically 5-connected graph

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## Abstract

An edge of a  $k$ -connected graph is said to be  $k$ -contractible if the contraction of the edge results in a  $k$ -connected graph. A  $k$ -connected graph with no  $k$ -contractible edge is said to be contraction critically  $k$ -connected. An edge of a  $k$ -connected graph is said to be trivially noncontractible if its end vertices have a common neighbor of degree  $k$ . We prove that a contraction critically 5-connected graph on  $n$  vertices has at least  $n/2$  trivially noncontractible edges and at least  $(2n)/9$  vertices of degree 5.

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## 1. Introduction

In this paper, we deal with finite undirected graphs with neither loops nor multiple edges. For a graph  $G$ , let  $V(G)$  and  $E(G)$  denote the set of vertices of  $G$  and the set of edges of  $G$ , respectively. For a vertex  $x \in V(G)$ , we denote by  $N_G(x)$  the neighborhood of  $x$  in  $G$  and let  $N_G[x] = N_G(x) \cup \{x\}$ . Moreover, for a subset  $S \subset V(G)$ , let  $N_G(S) = \bigcup_{x \in S} N_G(x) - S$ . We denote the degree of  $x \in V(G)$  by  $d_G(x)$ , namely  $d_G(x) = |N_G(x)|$ . We denote the minimum degree of  $G$  by  $\delta(G)$ . We denote by  $K_4^-$  the graph obtained from  $K_4$  by deleting one edge. The *square* of a graph  $G$  is the graph obtained from  $G$  by adding edges joining each

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pair of vertices whose distance in  $G$  is 2. Let  $G$  be a connected graph. A subset  $S \subset V(G)$  is said to be a *cutset* of  $G$ , if  $G - S$  is not connected. A cutset  $S$  is said to be a *k-cutset* if  $|S| = k$ . The neighborhood of a vertex of degree  $k$  of a  $k$ -connected graph is called a *trivial cutset*.

Let  $k$  be an integer such that  $k \geq 2$  and let  $G$  be a  $k$ -connected graph. An edge  $e$  of  $G$  is said to be *k-contractible* if the contraction of the edge results in a  $k$ -connected graph. If an edge is not  $k$ -contractible, then it is called a *noncontractible* edge. If the contraction of  $e \in E(G)$  results in a graph with minimum degree  $k - 1$ , then  $e$  is said to be *trivially noncontractible*. In other words,  $e$  is trivially noncontractible if and only if the end vertices of  $e$  have a common neighbor of degree  $k$ , or (equivalently) they are contained in some trivial cutset. If  $G$  does not have a  $k$ -contractible edge, then  $G$  is said to be *contraction critically k-connected*.

It is known that every 3-connected graph of order 5 or more contains a 3-contractible edge (Tutte [10]).

The characterization of contraction critically 4-connected graphs was obtained by Fontet [4] and independently by Martinov [8]. Namely they proved the following theorem.

**Theorem A.** *If  $G$  is a 4-connected graph with no 4-contractible edge, then  $G$  is either the square of a cycle or the line graph of a cyclically 4-connected 3-regular graph.*

From Theorem A, we know that each edge of a contraction critically 4-connected graph is trivially noncontractible.

Thomassen [9] proved that each  $k$ -connected triangle-free graph has a  $k$ -contractible edge. Thomassen also stated that, for  $k \geq 4$ , there exist infinitely many  $k$ -connected  $k$ -regular graphs each of whose edge is trivially noncontractible.

W. Mader [7] proved the following theorem which states that each contraction critically  $k$ -connected graph has many triangles.

**Theorem B.** *Let  $G$  be a  $k$ -connected graph of order  $n$  with no contractible edges. Then  $G$  contains at least  $n/3$  triangles.*

There is a contraction critically 5-connected graph which is not 5-regular. However, from Egawa's result [3] and Kriesell's result [5] we know that the minimum degree of a contraction critically 5-connected graph is 5. Ando et al. [2] investigated conditions for minimally  $k$ -connected graphs to have a contractible edge, moreover, Ando et al. [1] proved the following theorem which says that each contraction critically 5-connected graph has many vertices of degree 5.

**Theorem C.** *Let  $G$  be a 5-connected graph on  $n$  vertices which does not have a 5-contractible edge. Then each vertex of  $G$  has a neighbor of degree 5 and  $G$  has at least  $n/5$  vertices of degree 5.*

From Theorems B and C, it seems to be a natural expectation that each contraction critically 5-connected graph has many trivially noncontractible edges. In this paper, we consider the distribution of trivially noncontractible edges in a contraction critically

5-connected graph. The knowledge of their distribution brings us an improvement of Theorem C. Our main results are the following.

**Theorem 1.** *Each contraction critically 5-connected graph of order  $n$  has at least  $n/2$  trivially noncontractible edges.*

**Theorem 2.** *Each contraction critically 5-connected graph of order  $n$  has at least  $(2n)/9$  vertices of degree 5.*

The organization of the paper is as follows. Section 2 contains preliminary results. We give a key proposition in Section 3. We prove Theorems 1 and 2 in Section 4.

## 2. Preliminaries

In this section we give some more definitions and preliminary results.

For a graph  $G$ , we write  $|G|$  for  $|V(G)|$ . For subgraphs  $A$  and  $B$  of a graph  $G$ , when there is no ambiguity, we write simply  $A$  for  $V(A)$  and  $B$  for  $V(B)$ . So  $N_G(A)$  and  $A \cap B$  mean  $N_G(V(A))$  and  $V(A) \cap V(B)$ , respectively. Also for a subgraph  $A$  of  $G$  and a subset  $S$  of  $V(G)$  we write  $A \cap S$  and  $A \cup S$  for  $V(A) \cap S$  and  $V(A) \cup S$ , respectively. For  $S \subseteq V(G)$ , we let  $G[S]$  denote the subgraph induced by  $S$  in  $G$ , and let  $G - S$  denote the graph obtained from  $G$  by deleting the vertices in  $S$  together with the edges incident with them; thus  $G - S = G[V(G) - S]$ . Let  $V_k(G)$  denote the set of vertices of degree  $k$ . For a vertex  $x$  in  $V(G)$ , let  $E(x)$  denote the set of edges incident with  $x$ . When there is no ambiguity, we write  $E(S)$  for  $E(G[S])$ . For subsets  $S$  and  $T$  of  $V(G)$ , we denote by  $E_G(S, T)$  the set of edges between  $S$  and  $T$ . If  $S = \{x\}$ , then we simply write  $E_G(x, T)$  instead of  $E_G(\{x\}, T)$ .

A subgraph  $A$  of a  $k$ -connected graph  $G$  is called a *fragment* if  $|N_G(A)| = k$  and  $V(G) - (A \cup N_G(A)) \neq \emptyset$ . In other words, a fragment  $A$  is a nonempty union of components of  $G - S$  where  $S$  is a  $k$ -cutset of  $G$  such that  $V(G) - (A \cup S) \neq \emptyset$ . By the definition if  $A$  is a fragment of  $G$ , then  $G - (A \cup N_G(A))$  is also a fragment of  $G$ .

Let  $A$  be a fragment of a  $k$ -connected graph  $G$  and let  $e$  be an edge of  $G$ . Then  $A$  is said to be a fragment *with respect to*  $e$  if  $V(e) \subset N_G(A)$ . For a set of edges  $F \subset E(G)$ , we say that  $A$  is a fragment *with respect to*  $F$  if  $A$  is a fragment with respect to some  $e \in F$ . A fragment  $A$  with respect to  $F$  is said to be *minimum* (resp. *minimal*) if there is no fragment  $B$  other than  $A$  with respect to  $F$  such that  $|B| < |A|$  (resp.  $B \subset A$ ). A fragment  $A$  is said to be *trivial* if  $|A| = 1$ . Let  $e$  be an edge of  $G$  which is not  $k$ -contractible. Then there is a  $k$ -cutset  $S$  such that  $e \in E(S)$ . We denote the cardinality of a minimum fragment with respect to  $e$  by  $\eta(e)$  and we set  $E^{(i)}(G) = \{e \in E(G) \mid \eta(e) = i\}$ . Moreover, we set  $E_L(G) = \{e \in E(G) \mid \eta(e) \geq \lceil (k+1)/2 \rceil\}$ . By the definition,  $e \in E^{(1)}(G)$  if and only if  $e$  is contained in some trivial cutset. Thus  $E^{(1)}(G)$  is the set of trivially noncontractible edges of  $G$ . Note that if  $G$  is a contraction critically 5-connected graph, then  $E(G) = E^{(1)}(G) \cup E^{(2)}(G) \cup E_L(G)$  since  $\lceil (k+1)/2 \rceil = 3$ .

The following is an immediate observation.

**Lemma 2.1.** *Let  $S$  be a  $k$ -cutset of a  $k$ -connected graph  $G$  and let  $x \in S$ . If there is a vertex  $y$  of  $G$  such that  $N_G[y] \supset N_G(x) - S$ , then  $y \in S$ .*

**Proof.** Let  $A$  be a fragment of  $G - S$  and let  $\bar{A} = G - (S \cup A)$ . Assume that  $y \notin S$ . Without loss of generality we may assume that  $y \in A$ . Then we observe  $N_G[y] \subset (S \cup A)$ . Since  $N_G[y] \supset N_G(x) - S$  we have  $N_G(x) \subset (S \cup A)$ , that is  $N_G(x) \cap \bar{A} = \emptyset$  which contradicts the choice of  $S$ . Now Lemma 2.1 is proved.  $\square$

The following Lemma states some elementary facts which play essential roles in our arguments.

**Lemma 2.2.** *Let  $G$  be a  $k$ -connected graph, and let  $S$  and  $T$  be  $k$ -cutsets of  $G$ . Let  $A$  and  $B$  be fragments of  $G - S$  and  $G - T$ , respectively. Let  $\bar{A} = G - (S \cup A)$  and  $\bar{B} = G - (T \cup B)$ .*

$B$	$\bar{A} \cap B$	$S \cap B$	$A \cap B$
$T$	$\bar{A} \cap T$	$S \cap T$	$A \cap T$
$\bar{B}$	$\bar{A} \cap \bar{B}$	$S \cap \bar{B}$	$A \cap \bar{B}$
	$\bar{A}$	$S$	$A$

Then the following hold:

- (a) If  $|(S \cap B) \cup (S \cap T) \cup (A \cap T)| > k$ , then  $|(\bar{A} \cap T) \cup (S \cap T) \cup (S \cap \bar{B})| < k$  and  $\bar{A} \cap \bar{B} = \emptyset$ .
- (b) If  $A \cap B \neq \emptyset$ , then  $|S \cap B| \geq |\bar{A} \cap T|$ .

**Proof.** (a) Since  $S$  and  $T$  are both  $k$ -cutsets,

$$|S| + |T| = |(S \cap B) \cup (S \cap T) \cup (S \cap \bar{B})| + |(\bar{A} \cap T) \cup (S \cap T) \cup (A \cap T)| = 2k.$$

Hence, if the hypothesis of (a) holds, then  $|(\bar{A} \cap T) \cup (S \cap T) \cup (S \cap \bar{B})| < k$  and this implies that  $\bar{A} \cap \bar{B} = \emptyset$  since  $G$  is  $k$ -connected.

(b) Since neither  $\bar{A}$  nor  $\bar{B}$  is empty,  $A \cap B \neq \emptyset$  means that  $(S \cap B) \cup (S \cap T) \cup (A \cap T)$  is a cutset of  $G$ . Hence  $|(S \cap B) \cup (S \cap T) \cup (A \cap T)| \geq k$  since  $G$  is  $k$ -connected. From this inequality and  $k = |T| = |(\bar{A} \cap T) \cup (S \cap T) \cup (A \cap T)|$ , we have  $|S \cap B| \geq |\bar{A} \cap T|$ .  $\square$

The following Lemma 2.3 due to Mader [6,7] is fundamental.

**Lemma 2.3.** *Let  $G$  be a  $k$ -connected graph. Let  $F$  be a nonempty subset of  $E_L(G)$ . If there is a minimal fragment with respect to  $F$  which has a vertex  $x$  with  $E(x) \cap F \neq \emptyset$ , then  $G$  has a  $k$ -contractible edge.*  $\square$

The following is an immediate consequence of Lemma 2.3.

**Corollary 2.4.** *Let  $G$  be a  $k$ -connected graph. If there is a vertex  $x \in V(G)$  such that  $E(x) \subset E_L(G)$ , then  $G$  has a  $k$ -contractible edge.*  $\square$

The following is an easy but useful observation.

**Lemma 2.5.** *Let  $G$  be a  $k$ -connected graph and let  $x$  be a vertex of  $G$ . Let  $A$  be a minimum fragment with respect to an edge in  $E(x)$ . Let  $xu$  be a noncontractible edge in  $E_G(x, A)$  and  $T$  be a  $k$ -cutset which contains  $x$  and  $u$ . In this situation, if  $\eta(xu) \geq |A|$ , then  $A \subset T$ .*

**Proof.** Let  $N_G(A) = S$  and  $\bar{A} = G - (S \cup A)$ . Let  $B$  be a fragment of  $G - T$  and let  $\bar{B} = G - (T \cup B)$ . Note that  $x \in S \cap T$  and  $u \in A \cap T$ .

**Claim 2.5.1.** *If  $\eta(xu) \geq |A| - 1$ , then either  $A \cap B$  or  $A \cap \bar{B}$  is empty.*

**Proof.** Note that  $x \in S \cap T$  and  $u \in A \cap T$ . By way of contradiction, assume that  $\eta(xu) \geq |A| - 1$  and neither  $A \cap B$  nor  $A \cap \bar{B}$  is empty. Then we observe that

$$|A \cap B| \leq |A| - |A \cap T| - |A \cap \bar{B}| \leq |A| - |\{u\}| - |A \cap \bar{B}| \leq |A| - 2.$$

By symmetry, we have  $|A \cap \bar{B}| \leq |A| - 2$ . Since  $\eta(xu) \geq |A| - 1$  we know that neither  $A \cap B$  nor  $A \cap \bar{B}$  is a fragment with respect to  $xu$ . Hence, since  $xu \in E_G(x, A)$ , we observe that both  $|(S \cap B) \cup (S \cap T) \cup (A \cap T)|$  and  $|(S \cap \bar{B}) \cup (S \cap T) \cup (A \cap T)|$  are greater than  $k$ . By Lemma 2.2(a), this implies that  $|\bar{A} \cap T| < |A \cap T|$  and  $\bar{A} \cap B = \bar{A} \cap \bar{B} = \emptyset$  which means that  $\bar{A} = \bar{A} \cap T$ . Then  $|\bar{A}| = |\bar{A} \cap T| < |A \cap T| < |A|$  which contradicts the choice of  $A$ . Now Claim 2.5.1 is proved.  $\square$

Now we prove Lemma 2.5. Suppose that  $T \not\supset A$ . Then, by Claim 2.5.1, without loss of generality we may assume that  $A \cap B \neq \emptyset$  and  $A \cap \bar{B} = \emptyset$ . Since

$$|A \cap B| \leq |A| - |A \cap T| \leq |A| - 1$$

and  $|A| \leq \eta(xu)$  we know that  $A \cap B$  is not a fragment with respect to  $xu$ . Hence, since  $xu \in E_G(x, A)$ , we observe that  $|(S \cap B) \cup (S \cap T) \cup (A \cap T)| > k$ . Then Lemma 2.2(a) assures us that  $\bar{A} \cap \bar{B} = \emptyset$  which implies that  $\bar{B} = S \cap \bar{B}$  since  $A \cap \bar{B} = \emptyset$ . However, since  $A \cap B \neq \emptyset$ , Lemma 2.2(b) tells us that  $|S \cap B| \geq |\bar{A} \cap T|$ , which (since  $|S| = |T|$ ) is the same as  $|S \cap \bar{B}| \leq |A \cap T|$ . Thus  $|\bar{B}| = |S \cap \bar{B}| \leq |A \cap T| < |A| \leq \eta(xu)$  which contradicts the definition of  $\eta(xu)$ . Now Lemma 2.5 is proved.  $\square$

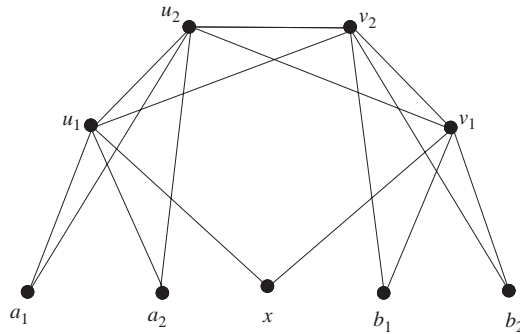
**Lemma 2.6.** *Let  $G$  be a 5-connected graph. Let  $x \in V(G)$  be a vertex of degree 5 and let  $N_G(x) = \{u_1, u_2, v_1, v_2, w\}$ . If either (a) or (b) holds, then  $G$  has a contractible edge.*

- (a)  $G[\{u_1, u_2, v_1, v_2\}] \cong K_4$ ,
- (b)  $G[\{u_1, u_2, v_1, v_2\}] \cong K_4 - u_1v_1$ ,  $N_G[u_1] \cup \{v_1\} \supset N_G(u_2)$  and  $wv_1 \notin E(G)$ , where  $K_4 - u_1v_1$  stands for the graph obtained from  $K_4$  by deleting the edge  $u_1v_1$ .

**Proof.** Assume that  $G$  has no contractible edge. Let  $S$  be a 5-cutset which contains both  $x$  and  $w$ . Let  $A$  be a fragment of  $G - S$  and let  $\bar{A} = G - S \cup A$ .

Suppose  $G[\{u_1, u_2, v_1, v_2\}] \cong K_4$ . Then, without loss of generality, we may assume that  $\{u_1, u_2, v_1, v_2\} \cap A = \emptyset$ . Since  $w \in S$ , this implies that  $N_G(x) \cap A = \emptyset$  contradicting the choice of  $A$ . Now it is shown that if (a) holds then  $G$  has a contractible edge.

Next suppose that (b) holds. Since neither  $N_G(x) \cap A$  nor  $N_G(x) \cap \bar{A}$  is empty, we observe that  $u_2, v_2 \in S$  and  $\{u_1, v_1\} \subset A \cup \bar{A}$ . Without loss of generality we may assume that  $u_1 \in \bar{A}$  and  $v_1 \in A$ . In this situation we know that  $N_G(x) \cap A = \{v_1\}$ . Also the condition  $N_G[u_1] \cup \{v_1\} \supset N_G(u_2)$  assures us that  $N_G(u_2) \cap A = \{v_1\}$ . Furthermore, the last condition of (b),  $wv_1 \notin E(G)$ , tells us that  $A - \{v_1\} \neq \emptyset$  since  $w \in S$ . Hence we observe

Fig. 1. A  $K_4^-$ -configuration with center  $x$ .

that  $(S - \{x, u_2\}) \cup \{v_1\}$  is a 4-cutset of  $G$  which contradicts the fact that  $G$  is 5-connected. Now it is shown that if (b) holds then  $G$  has a contractible edge and Lemma 2.6 is proved.  $\square$

### 3. Key proposition

In this section we prove a proposition which plays a key role in this paper. First we introduce two definitions.

Let  $S = \{a_1, a_2, x, b_1, b_2\}$  be a 5-cutset of a 5-connected graph  $G$  and let  $A$  be a component of  $G - S$  such that  $V(A) \subset V_5(G)$ ,  $|V(A)| = 4$  and  $G[A] \cong K_4^-$ , say  $A = \{u_1, u_2, v_1, v_2\}$ , with edges within  $A$  and between  $A$  and  $S$  exactly as in Fig. 1; there may also be edges between vertices of  $S$ . We call this configuration,  $G[V(A) \cup S]$ , a  $K_4^-$ -configuration with center  $x$ .

Next we define the notion of orthogonal edges. Two edges  $xu$ ,  $xv$  of a 5-connected graph are said to be *mutually orthogonal* if there are minimum fragments  $B$  and  $A$  with respect to  $xu$  and  $xv$ , respectively, such that  $u \in A$  and  $v \in B$ .

The following is the key proposition.

**Proposition 3.1.** *Let  $x$  be a vertex of a contraction critically 5-connected graph  $G$ . If there is no trivially noncontractible edge in  $E(x)$ , then  $G$  has a  $K_4^-$ -configuration with center  $x$ .*

The following lemma plays an essential role in the proof of Proposition 3.1.

**Lemma 3.2.** *Let  $x$  be a vertex of a contraction critically 5-connected graph  $G$ . If there are mutually orthogonal edges in  $E(x) \cap E^{(2)}(G)$ , then  $G$  has a  $K_4^-$ -configuration with center  $x$ .*

**Proof.** Let  $xu_1$  and  $xv_1$  be mutually orthogonal edges in  $E(x) \cap E^{(2)}(G)$ . Let  $B$  and  $A$  be minimum fragments with respect to  $xu_1$  and  $xv_1$ , respectively, such that  $u_1 \in A$  and  $v_1 \in B$ . Say  $A = \{u_1, u_2\}$  and  $B = \{v_1, v_2\}$ . Let  $S = N_G(A)$  and  $\bar{A} = G - (S \cup A)$ . Let  $T = N_G(B)$  and  $\bar{B} = G - (T \cup B)$ .

Since  $|A| = \eta(xv_1)$  and  $|B| = \eta(xu_1)$ , by Lemma 2.5, we know that  $T \supset A$  and  $S \supset B$ , so that  $|A \cap T| = |S \cap B| = 2$  and  $\bar{A} \cap B = A \cap B = A \cap \bar{B} = \emptyset$ .

**Claim 3.2.1.**  $S \cap T = \{x\}$ .

**Proof.** By way of contradiction assume that  $|S \cap T| \geq 2$ . Then

$$|(S \cap B) \cup (S \cap T) \cup (A \cap T)| = 2 + |S \cap T| + 2 \geq 6.$$

Hence, by Lemma 2.2(a), we have  $\bar{A} \cap \bar{B} = \emptyset$  which implies that  $\bar{B} = \bar{B} \cap S$ . Thus  $|\bar{B}| = |\bar{B} \cap S| = |S| - |S \cap T| - |S \cap B| \leq 5 - 2 - 2 = 1$  which contradicts the assumption that  $xu_1 \in E^{(2)}(G)$ . Now Claim 3.2.1 is proved.  $\square$

By Claim 3.2.1 we know that  $|S \cap \bar{B}| = |\bar{A} \cap T| = 2$ , say  $S \cap \bar{B} = \{a_1, a_2\}$  and  $\bar{A} \cap T = \{b_1, b_2\}$ , so that  $S = \{x, a_1, a_2, v_1, v_2\}$  and  $T = \{x, b_1, b_2, u_1, u_2\}$ . Let  $A' = \{u_1, u_2, v_1, v_2\}$ . Then by Claim 3.2.1 we also know that  $A'$  is a fragment of  $G$  with which the 5-cutset  $S' = N_G(A') = \{a_1, a_2, x, b_1, b_2\}$  is associated. Let  $\bar{A}' = G - (S' \cup A')$ .

**Claim 3.2.2.**  $N_G(u_2) \supset (S - \{x\})$  and  $N_G(v_2) \supset (T - \{x\})$ .

**Proof.** We show that  $N_G(u_2) \supset (S - \{x\})$ . If  $d_G(u_2) = 6$ , then  $N_G(u_2) = S \cup \{u_1\}$ . So we assume that  $d_G(u_2) = 5$ . If  $xu_2 \in E(G)$ , then  $N_G(u_2) \supset \{x, u_1\}$  which means that  $\{u_2\}$  is a fragment with respect to  $xu_1$ . This contradicts the fact that  $\eta(xu_1) = 2$ . Thus  $xu_2 \notin E(G)$  and  $N_G(u_2) \supset (S - \{x\})$ . By the same argument,  $N_G(v_2) \supset (T - \{x\})$ . Now Claim 3.2.2 is proved.  $\square$

By Claim 3.2.2 we know that  $G[A'] = G[u_1, u_2, v_1, v_2]$  contains all possible edges except possibly  $u_1v_1$ ; in particular  $u_2v_2 \in E(G)$ . Let  $C$  be a fragment with respect to  $u_2v_2$ . Let  $R = N_G(C)$  and let  $\bar{C} = G - (R \cup C)$ .

**Claim 3.2.3.** If  $u_1v_1 \in E(G)$ , then  $u_1v_1 \in E(R)$ .

**Proof.** Assume that  $u_1v_1 \in E(G)$ . Then  $N_G(u_1) \supset \{x, v_1\}$  which implies that  $d_G(u_1) \geq 6$  since  $\eta(xv_1) = 2$ . Since  $d_G(u_1) \geq 6$  we know that

$$N_G[u_1] = \{u_1, u_2, v_1, v_2, x, a_1, a_2\} \supset N_G(u_2).$$

Hence, by Lemma 2.1,  $u_1 \in R$ . By the same argument,  $v_1 \in R$ . Now Claim 3.2.3 is proved.  $\square$

**Claim 3.2.4.**  $u_1v_1 \notin E(G)$ .

**Proof.** By way of contradiction, assume that  $u_1v_1 \in E(G)$ . Then  $G[A'] \cong K_4$ . Without loss of generality, we may assume that  $|S' \cap \bar{C}| \geq |S' \cap C|$ . Then, we know that  $|S' \cap C| \leq 2$ . By Claim 3.2.3, we know that  $A' \subset R$ , which implies that  $A' \cap C = \emptyset$ . We show that  $S' \cap C \neq \emptyset$ . Since  $A' \cap C = \emptyset$  and  $C \neq \emptyset$  either  $S' \cap C \neq \emptyset$  or  $\bar{A}' \cap C \neq \emptyset$ . If  $\bar{A}' \cap C \neq \emptyset$ , then

$|R - A' \cap R| + |S' \cap C| = |(\bar{A}' \cap R) \cup (S' \cap R)| + |S' \cap C| \geq 5$  which implies that  $S' \cap C \neq \emptyset$  since  $|R - A' \cap R| \leq 1$ . Hence we observe that  $S' \cap C \neq \emptyset$ .

We show that  $(S' \cap C) \cap \{a_1, a_2, b_1, b_2\} = \emptyset$ . By way of contradiction assume that  $(S' \cap C) \cap \{a_1, a_2, b_1, b_2\} \neq \emptyset$ . Without loss of generality we may assume that  $a_1 \in (S' \cap C)$ . Then, since  $|A'| = |A' \cap R| = 4 > 2 \geq |S' \cap C|$ , by Lemma 2.2(b), we know that  $\bar{A}' \cap C = \emptyset$ . Thus, since  $N_G(a_1) \subset C \cup R$  and  $A' \subset R$ , we observe that  $N_G(a_1) \subset ((S' \cap C) - \{a_1\}) \cup (R - \{v_1, v_2\})$  because  $N_G(a_1) \cap \{v_1, v_2\} = \emptyset$ . This means that  $|N_G(a_1)| \leq |((S' \cap C) - \{a_1\})| + |R - \{v_1, v_2\}| \leq (2 - 1) + 3 = 4$  which contradicts the fact that  $G$  is 5-connected. Now it is shown that  $(S' \cap C) \cap \{a_1, a_2, b_1, b_2\} = \emptyset$  which means that  $S' \cap C = \{x\}$  since  $S' = \{x, a_1, a_2, b_1, b_2\}$  and  $S' \cap C \neq \emptyset$ .

Since  $4 = |A' \cap R| > |S' \cap C| = 1$ , Lemma 2.2(b) tells us that  $\bar{A}' \cap C = \emptyset$  and thus  $C = \{x\}$ . Now we know that  $x \in V_5(G)$  and  $N_G(x) = R$ , so that  $G[N_G(x)] \supset G[A'] \cong K_4$ . Then by Lemma 2.6(a),  $G$  has a contractible edge which contradicts the assumption that  $G$  is contraction critical. Now Claim 3.2.4 is proved.  $\square$

By Claim 3.2.4 we know that  $G[A'] \cong K_4 - u_1v_1$ . Let  $R'$  be a 5-cutset which contains  $u_1$  and  $v_2$ . Let  $C'$  be a fragment of  $G - R'$  and let  $\bar{C}' = G - (R' \cup C')$ .

**Claim 3.2.5.** *If  $xu_2 \in E(G)$ , then  $R' \supset A'$ .*

**Proof.** Since  $xu_2 \in E(G)$ ,  $N_G[u_2] = \{u_1, u_2, v_1, v_2, a_1, a_2, x\} \supset N_G(u_1)$ , hence by Lemma 2.1 we know that  $u_2 \in R'$ . Since  $u_1 \in R'$ ,

$$N_G[v_1] = \{u_2, v_1, v_2, b_1, b_2, x\} \supset N_G(v_2) - R',$$

hence again by Lemma 2.1 we know that  $v_1 \in R'$ . Now it is shown that  $R' \supset A'$ .  $\square$

The following Claim is the final step of the proof of Lemma 3.2.

**Claim 3.2.6.**  $xu_2, xv_2 \notin E(G)$ .

**Proof.** We show that  $xu_2 \notin E(G)$ . By a similar argument we can show that  $xv_2 \notin E(G)$ . By way of contradiction assume that  $xu_2 \in E(G)$ . Then by Claim 3.2.5, we know that  $R' \subset A'$ , say  $R' = A' \cup \{w\}$ .

We show that  $\bar{A}' \cap R' = \{w\}$ . Assume on the contrary that  $\bar{A}' \cap R' \neq \{w\}$  which means that  $\bar{A}' \cap R' = \emptyset$ . Since  $A' \subset R'$  we know that  $A' \cap C' = A' \cap \bar{C}' = \emptyset$  which implies that neither  $S' \cap C'$  nor  $S' \cap \bar{C}'$  is empty, since otherwise  $R' - A'$  would be a cutset, contradicting the 5-connectedness of  $G$ . Then both  $|S' \cap (C' \cup R')|$  and  $|S' \cap (\bar{C}' \cup R')|$  are at most 4. This together with the fact that  $\bar{A}' \cap R' = \emptyset$  assures us that  $\bar{A}' \cap C' = \bar{A}' \cap \bar{C}' = \emptyset$  and hence  $\bar{A}' = \emptyset$ , a contradiction. Now it is shown that  $\bar{A}' \cap R' = \{w\}$ ; in particular  $wv_1 \notin E(G)$ .

Without loss of generality, we may assume that  $|S' \cap \bar{C}'| \geq |S' \cap C'|$ . Then by the same argument as in the proof of Claim 3.2.4, we can show that  $C' = \{x\}$  and  $N_G(x) = R'$ . Now we observe that  $x \in V_5$ ,  $N_G(x) \supset A' = \{u_1, u_2, v_1, v_2\}$ ,  $G[A'] \cong K_4 - u_1v_1$ ,  $N_G[u_1] \cup \{v_1\} \supset N_G(u_2)$  and  $wv_1 \notin E(G)$ . By Lemma 2.6(b), we conclude that  $G$  has a contractible edge which contradicts the assumption that  $G$  is contraction critically. Now Claim 3.2.6 is proved.  $\square$



By Claims 3.2.2, 3.2.4 and 3.2.6, we know that  $\{u_1, u_2, v_1, v_2\} \cup \{a_1, a_2, x, b_1, b_2\}$  forms a  $K_4^-$ -configuration and the proof of Lemma 3.2 is completed.  $\square$

**Lemma 3.3.** *Let  $x$  be a vertex of a contraction critically 5-connected graph  $G$ . If  $E(x) \cap E^{(1)}(G) = \emptyset$  and there is a minimum fragment  $A$  with respect to  $E(x) \cap E^{(2)}(G)$  such that  $E_G(x, A) \cap E^{(2)}(G) \neq \emptyset$ , then  $G$  has a  $K_4^-$ -configuration with center  $x$ .*

**Proof.** Let  $S = N_G(A)$  and let  $\bar{A} = G - (S \cup A)$ . Let  $xu$  be an edge in  $E_G(x, A) \cap E^{(2)}(G)$  and let  $B$  be a minimum fragment with respect to  $xu$ . Let  $T = N_G(B)$  and let  $\bar{B} = G - (T \cup B)$ . We show that  $B \subset S$ . By Lemma 2.5, we know that  $A \subset T$  which implies that  $A \cap B = \emptyset$ . If  $\bar{A} \cap B \neq \emptyset$  then, by Lemma 2.2(b),  $|S \cap B| \geq |A \cap T| = |A| = 2$ , which is impossible since  $|S \cap B| = |B| - |\bar{A} \cap B| \leq 1$ . Now it is shown that  $\bar{A} \cap B = \emptyset$  and hence  $B \subset S$ . Thus there is an edge  $xv \in E_G(x, B) \cap E(S)$  such that  $\eta(xv) \leq 2$  since  $xv \in E(S)$ . Since  $E(x) \cap E^{(1)}(G) = \emptyset$  we know that  $xv \in E^{(2)}(G)$ . Thus  $xu$  and  $xv$  are mutually orthogonal edges in  $E(x) \cap E^{(2)}(G)$ . Now the conclusion of Lemma 3.3 follows from Lemma 3.2.  $\square$

**Proof of Proposition 3.1.** Let  $G$  be a contraction critically 5-connected graph. Let  $x$  be a vertex of  $G$  such that  $E(x) \cap E^{(1)}(G) = \emptyset$ . Then since  $E(x) = E(x) \cap (E^{(1)}(G) \cup E^{(2)}(G) \cup E_L(G))$ , we know that  $E(x) = E(x) \cap (E^{(2)}(G) \cup E_L(G))$ . If  $E(x) \cap E_L(G) = \emptyset$ , then there is a minimum fragment  $A$  with respect to  $E(x) \cap E^{(2)}(G)$  such that  $E_G(x, A) \cap E^{(2)}(G) \neq \emptyset$ ; thus the conclusion of Proposition 3.1 follows from Lemma 3.3. Hence we assume that  $E(x) \cap E_L(G) \neq \emptyset$ . Let  $A$  be a minimum fragment with respect to  $E(x) \cap E_L(G)$ , and take an edge  $xy \in E(x) \cap E_L(G)$  such that  $A$  is a fragment with respect to  $xy$ . Let  $xu \in E_G(x, A)$ . Let  $S = N_G(A)$  and let  $\bar{A} = G - (S \cup A)$ . Since  $G$  has no 5-contractible edge, it follows from Lemma 2.3 that  $xu \notin E_L(G)$ , which means that  $xu \in E^{(2)}(G)$ . Let  $B$  be a minimum fragment with respect to  $xu$ . Let  $T = N_G(B)$  and let  $\bar{B} = G - (T \cup B)$ .

**Claim 3.1.1.**  $\bar{A} \cap B = \emptyset$ .

**Proof.** By way of contradiction assume that  $\bar{A} \cap B \neq \emptyset$ . Then, since  $|B| = 2$  we know that  $|S \cap B| \leq |B| - |\bar{A} \cap B| \leq 1$ . On the other hand since  $\bar{A} \cap B \neq \emptyset$ , by Lemma 2.2(b), we have  $|S \cap B| \geq |A \cap T| \geq |\{u\}| = 1$ . Hence we observe that  $|S \cap B| = |A \cap T| = |\bar{A} \cap B| = 1$  and  $A \cap B = \emptyset$  which implies that  $A \cap \bar{B} \neq \emptyset$  because  $|A| \geq 3$ . Now we know that neither  $\bar{A} \cap B$  nor  $A \cap \bar{B}$  is empty. Thus, by Lemma 2.2(a), we observe that both  $(\bar{A} \cap T) \cup (S \cap T) \cup (S \cap B)$  and  $(A \cap T) \cup (S \cap T) \cup (S \cap \bar{B})$  are 5-cutsets of  $G$ . Since  $1 = |\bar{A} \cap B| \leq |A \cap \bar{B}| < |A|$ , and  $y \in S$ , this implies that either  $\bar{A} \cap B$  or  $A \cap \bar{B}$  is a fragment with respect to  $xy$  that is smaller than  $A$ . This contradiction completes the proof of Claim 3.1.1.  $\square$

**Claim 3.1.2.**  $|A \cap B| \neq 1$ .

**Proof.** Assume that  $|A \cap B| = 1$ . Then we observe that  $|S \cap B| = 1$  since  $\bar{A} \cap B = \emptyset$  and  $|B| = 2$ . Since  $xu \in E_G(x, A \cap T)$  and  $xu \in E^{(2)}(G)$  we have  $|(S \cap B) \cup (S \cap T) \cup (A \cap T)| \geq 6$ . Then by Lemma 2.2(a) we know that  $\bar{A} \cap \bar{B}$  is empty. That also implies that  $|(S \cap T) \cup (A \cap T)| \geq 6 - |S \cap B| = 5$ . Hence  $\bar{A} \cap T = T - ((S \cap T) \cup (A \cap T)) = \emptyset$ . Since

both  $\bar{A} \cap B$  and  $\bar{A} \cap \bar{B}$  are empty this means that  $\bar{A} = \emptyset$  which contradicts the choice of  $A$ . Now Claim 3.1.2 is proved.  $\square$

By Claims 3.1.1 and 3.1.2, we know that either  $B \subset S$  or  $B \subset A$ . By Lemma 2.3 we know that  $E_G(x, A) \cap E_L(G) = \emptyset$  which means  $E_G(x, A) \subset E^{(2)}(G)$ ; hence if  $B \subset A$ , then  $E_G(x, B) \subset E_G(x, A) \subset E^{(2)}(G)$ . Thus, if  $B \subset A$ , then the conclusion of Proposition 3.1 follows from Lemma 3.3.

So we may assume that  $B \subset S$  and  $\bar{A} \cap B = A \cap B = \emptyset$  and  $|S \cap B| = 2$ . We show that  $|(S \cap B) \cup (S \cap T) \cup (A \cap T)| \leq 5$ . Assume the contrary; then by Lemma 2.1(a) we know that  $\bar{A} \cap \bar{B} = \emptyset$ . Then, since both  $\bar{A} \cap B$  and  $\bar{A} \cap \bar{B}$  are empty,  $|\bar{A}| = |\bar{A} \cap T| = |T| - |(S \cap T) \cup (A \cap T)| \leq 5 - 4 = 1$  which contradicts the choice of  $A$ .

We show that  $|S \cap T| = 1$ ,  $|A \cap T| = |S \cap \bar{B}| = 2$  and  $A \cap \bar{B} \neq \emptyset$ . From the above fact, we observe that  $|A \cap T| = |(S \cap B) \cup (S \cap T) \cup (A \cap T)| - |(S \cap B) \cup (S \cap T)| \leq 5 - (2 + 1) = 2$  which implies that  $A \cap \bar{B} \neq \emptyset$  since  $A \cap B = \emptyset$  and  $|A| \geq 3$ . Hence, by Lemma 2.2(b) with  $A, S, \bar{A}, B, T$  replaced by  $\bar{B}, T, B, A, S$ , respectively, we know that  $|A \cap T| \geq |S \cap B| = 2$ . We now know that  $|A \cap T| = 2$ . Moreover we observe that  $|(S \cap B) \cup (S \cap T) \cup (A \cap T)| = 5$  and  $|S \cap T| = 1$  which implies that  $|S \cap \bar{B}| = 2$  since  $|S| = 5$ . Now it is shown that  $|S \cap T| = 1, |A \cap T| = |S \cap \bar{B}| = 2$  and  $A \cap \bar{B} \neq \emptyset$ .

Next we show that  $y \in B$ . Since  $|(A \cap T) \cup (S \cap T) \cup (S \cap \bar{B})| = 5$  we know that  $A \cap \bar{B}$  is a fragment of  $G$ . This implies that  $xy \notin E_G(x, T \cup \bar{B})$  or equivalently  $y \in B$  since  $A$  is a minimum fragment with respect to  $E(x) \cap E_L(G)$ .

Since  $A \cap \bar{B}$  is a fragment there is a vertex  $u' \in N_G(x) \cap (A \cap \bar{B})$ . Let  $B'$  be a minimum fragment with respect to  $xu'$ . Then  $|B'| = 2$  since  $xu' \in E_G(x, A) \subset E^{(2)}(G)$ . Let  $T' = N_G(B')$  and let  $\bar{B}' = G - (T' \cup B')$ . By Claims 3.1.1 and 3.1.2, we know that either  $B' \subset S$  or  $B' \subset A$ .

We show that  $B' \subset A$ . Assume that  $B' \subset S$ . Then by the same argument as above, we know that  $y \in B'$ , say  $B' = \{y, w\}$ . Because  $u' \in \bar{B}$  we know that  $N_G(u') \cap B = \emptyset$  which implies that  $B \neq B'$  and  $yu' \notin E(G)$ . Since  $B \neq B'$  we know that  $w \notin B$ . Moreover  $w \notin T$  because  $S \cap T = \{x\}$  and  $w \in B' \subset S$ . Now we conclude that  $w \in \bar{B}$  which implies that  $yw \notin E(G)$ . Hence  $N_G(y) \subset B' \cup T' - \{y, u', w\}$ . This means that  $d_G(y) \leq |B' \cup T'| - |\{y, u', w\}| = 7 - 3 = 4$  which contradicts the choice of  $G$ . Now it is shown that  $B' \subset A$ .

The fact that  $B' \subset A$  assures us that  $E_G(x, B') \subset E_G(x, A) \subset E^{(2)}(G)$ . Thus the conclusion of Proposition 3.1 follows from Lemma 3.3. Now the proof of Proposition 3.1 is completed.  $\square$

#### 4. Proofs of theorems

In this section we prove Theorems 1 and 2.

**Proof of Theorem 1.** Let  $G$  be a contraction critically 5-connected graph on  $n$  vertices and let  $W(G) = \{x \in V(G) \mid E(x) \cap E^{(1)}(G) = \emptyset\}$ . We use a very simple discharging process. Initially we assign to each vertex  $x$  of  $G$  the charge  $|E(x) \cap E^{(1)}(G)|$ . Then we discharge by the following rules:

- (1) If either  $x \in W(G)$  or  $N_G(x) \cap W(G) = \emptyset$ , then we move no charge from  $x$ .

- (2) If neither  $x \in W(G)$  nor  $N_G(x) \cap W(G) = \emptyset$ , then from  $x$  to each vertex of  $N_G(x) \cap W(G)$ , we move charge  $(|E(x) \cap E^{(1)}(G)| - 1)/|N_G(x) \cap W(G)|$ .

We let  $\varphi(x)$  denote the amount of charge of  $x$  after the discharging. Then we know that  $2|E^{(1)}(G)| = \sum_{x \in V(G)} |E(x) \cap E^{(1)}(G)| = \sum_{x \in V(G)} \varphi(x)$ . By the discharging rule, we know that  $\varphi(x) \geq 1$  for every vertex  $x \in V(G) - W(G)$ . Moreover, for each  $x \in W(G)$ , Proposition 3.1 assures us that there is a  $K_4^-$ -configuration with center  $x$ . Hence, for each  $x \in W(G)$  there are at least two vertices  $u$  and  $v$  in  $N_G(x)$  such that  $|E(u) \cap E^{(1)}(G)| = |E(v) \cap E^{(1)}(G)| = 4$  and  $N_G(u) \cap W(G) = N_G(v) \cap W(G) = \{x\}$ . From this fact we observe that  $\varphi(x) \geq 3 + 3 = 6$  for any vertex  $x \in W(G)$ . Thus  $2|E^{(1)}(G)| = \sum_{x \in V(G)} \varphi(x) \geq |V(G)| = n$ . Now it is shown that the number of trivially noncontractible edges of  $G$  is greater than or equal to  $n/2$  and the proof of Theorem 1 is completed.  $\square$

**Proof of Theorem 2.** Let  $G$  be a contraction critically 5-connected graph on  $n$  vertices and let  $U_6(G) = \{x \in V(G) \mid d_G(x) \geq 6\}$ . Then Theorem C assures us that  $U_6(G) \subset N_G(V_5(G))$ . Hence  $V(G) = V_5(G) \cup U_6(G) = V_5(G) \cup N_G(V_5(G))$ . Let

$$\xi(G) = \sum_{z \in U_6(G)} (|E_G(z, V_5(G))| - 1).$$

Then we observe that  $|E_G(U_6(G), V_5(G))| = |U_6(G)| + \xi(G)$ .

Let  $H$  be the subgraph of  $G$  induced by the set of vertices of degree 5. Namely,  $H = G[V_5(G)]$ . Then, again by Theorem C, we know that  $\delta(H) \geq 1$ . Let  $U_2(H) = \{x \in V_5(G) \mid d_H(x) \geq 2\}$ . Then  $V_5(G) = V(H) = V_1(H) \cup U_2(H)$ . Let  $W(H) = \{x \in V_5(G) \mid E(x) \cap E^{(1)}(G) = \emptyset\}$ . We show that each vertex of  $V_1(H)$  is incident with a trivially noncontractible edge, namely we show that  $V_1(H) \cap W(H) = \emptyset$ . For each  $x \in W(H)$ , Proposition 3.1 assures us that there is a  $K_4^-$ -configuration with center  $x$  which means that  $|N_G(x) \cap V_5(G)| \geq 2$ . Thus we know that  $W(H) \subset U_2(H)$  and  $V_1(H) \cap W(H) = \emptyset$ . Now it is shown that each vertex of  $V_1(H)$  is incident with a trivially noncontractible edge.

Let  $x$  be a vertex of  $V_1(H)$ . Let  $xz$  be a trivially noncontractible edge which is incident with  $x$ . Then there is a vertex  $y$  in  $V_5(G)$  such that  $xy, yz \in E(G)$ . If  $z \in V_5(G)$ , then  $d_H(x) = |N_H(x)| \geq |\{y, z\}| = 2$  which contradicts the choice of  $x$ . Hence we know that  $z \in U_6(G)$ . Thus we know that for each  $x \in V_1(H)$  there exists  $z_x \in N_G(x) \cap U_6(G)$  such that  $|N_G(z_x) \cap V_5(G)| \geq 2$ . Let  $Z = \{z_x \mid x \in V_1(H)\}$ . For each  $z \in Z$ , take  $x_z \in N_G(z) \cap V_5(G)$ . If possible, we choose  $x_z$  so that  $x_z \notin V_1(H)$ . Set  $X = \{x_z \mid z \in Z\}$ . Then  $|X \cap V_1(H)| \leq (1/2)|V_1(H)|$ . We now observe that

$$\xi(G) \geq \sum_{z \in Z} |N_G(z) \cap V_5(G) - \{x_z\}| \geq |V_1(H) - X| \geq (1/2)|V_1(H)|.$$

Hence we have  $|E_G(U_6(G), V_5(G))| = |U_6(G)| + \xi(G) \geq |U_6(G)| + (1/2)|V_1(H)|$ .

On the other hand,

$$|E_G(U_6(G), V_5(G))| \leq \sum_{x \in V(H)} (d_G(x) - d_H(x)) \leq 4|V_1(H)| + 3|U_2(H)|.$$

Thus

$$\begin{aligned}
 |U_6(G)| &\leq |E_G(U_6(G), V_5(G))| - (1/2)|V_1(H)| \\
 &\leq 4|V_1(H)| + 3|U_2(H)| - (1/2)|V_1(H)| \\
 &= (7/2)|V_1(H)| + 3|U_2(H)| \\
 &\leq (7/2)|V(H)| = (7/2)|V_5(G)|.
 \end{aligned}$$

Finally we have  $n = |V(G)| = |U_6(G)| + |V_5(G)| \leq (9/2)|V_5(G)|$  and hence  $(2n)/9 \leq |V_5(G)|$  which is the desired inequality and the proof of Theorem 2 is completed.  $\square$

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